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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/915,494	07/25/2001	1641	771	AC- 00127.P.1	4	59	1

24232  
DAVID R PRESTON & ASSOCIATES  
12625 HIGH BLUFF DRIVE  
SUITE 205  
SAN DIEGO, CA 92130CONFIRMATION NO. 6752  
CORRECTED FILING RECEIPT

\*OC00000007737593\*

Date Mailed: 03/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Robert Thomas Hudak, Carlsbad, CA;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 09/01/2001

Projected Publication Date: 01/30/2003

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Specimen collection container Specimen collection container

## Preliminary Class

436

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MAY 10 2002

TECH CENTER 1600/2900

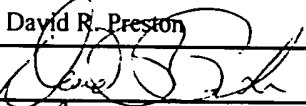
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APR 11 2002  
BY: [Signature]

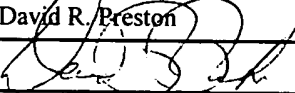
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number:	09/915,494
		Filing Date:	July 25, 2001
		First Named Inventor:	Hudak
		Group Art Unit:	1641
		Examiner:	TBD
Total Pages in This Submission:		Attorney Docket Number:	AC-00127.P.1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits / Declarations(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Requests	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Inclosures, identified below: 1. Postcard 2. Copy of Filing Receipt 3. Request for Corrected Filing Receipt
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts / Incomplete Application	Remarks:	
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	David R. Preston
Signature	
Date	April 11, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date.			Date: 4/11/02
Typed or printed name:	David R. Preston		
Signature		Date:	4/11/02

<p align="center"><b>FEE TRANSMITTAL</b></p> <p>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-021 Rev. 37 C.F.R. §§ 1.27 and 1.28.</p>	Complete if Known	
	Application Number:	09/915,494
	Filing Date:	July 25, 2001
	First Named Inventor:	Hudak
	Group / Art Unit:	1641
Total Amount of Payment	Docket Number: AC-00127.P.1	

<b>METHOD OF PAYMENT</b>
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:          Deposit Account Number: <input type="checkbox"/>          Deposit Account Name: <input type="checkbox"/>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17  <u>Deposit Account Number 50132</u>  <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.</p>
<p>2. <input type="checkbox"/> Payment Enclosed  <input type="checkbox"/> Check Number _____  <input type="checkbox"/> Money Order  <input type="checkbox"/> Other</p>

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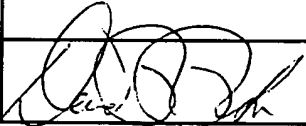
<b>FEE CALCULATION</b>						
<b>1. BASIC FILING FEE</b>						
	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
	101		201		Utility Filing Fee	\$
	106		206		Design Filing Fee	\$
	107		207		Plant Filing Fee	\$
	108		208		Reissue Filing Fee	\$
	114		214		Provisional Filing Fee	\$
<b>SUBTOTAL (1)</b>						<b>\$ 0.00</b>

TECH CENTER 1600/2900

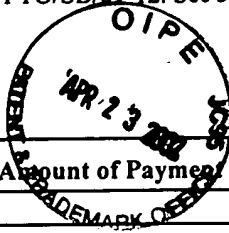
2. EXTRA CLAIM FEES								
				Extra Claims		Fee From Below		Fee Paid
Total Claims	[ ]	-20 **	Equals	[0]	Times	[ 0]	Equals	\$ 0.00
Independent Claims	[ ]	-3 **	Equals	[0]	Times	[0]	Equals	\$ 0.00
<b>Subtotal (2)</b>								\$ 0.00
** or number previously paid, if greater, For Reissues, see below								
	<b>Large Entity</b>		<b>Small Entity</b>		<b>Fee Description</b>			
	<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Code</b>	<b>Fee (\$)</b>				
	103		203		Claims in excess of 20			
	102		202		Independent claims in excess of 3			
	104		204		Multiple dependent claim, if not paid			
	109		209		** Reissue independent claims over original patent			
	110		210		** Reissue claims in excess of 20 and over original patent			

3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105		205		Surcharge - late filing fee or oath	\$
127		227		Surcharge - late provisional filing fee or cover sheet	\$
139		139		Non-English specification	\$
147		147		For filing a request for reexamination	\$
112		112		Requesting publication of SIR prior to Examiner action	\$
113		113		Requesting publication of SIR after Examiner action	\$
115		215		Extension for reply within first month	\$
116		216		Extension for reply within second month	\$
117		217		Extension for reply within third month	\$
118		218		Extension for reply within fourth month	\$
128		228		Extension for reply within fifth month	\$
119		219		Notice of Appeal	\$
120		220		Filing a brief in support of an appeal	\$
121		221		Request for oral hearing	\$
138		138		Petition to institute a public use proceeding	\$
140		240		Petition or revive - unavoidable	\$
141		241		Petition or revive - unintentional	\$
142		242		Utility issue fee (or reissue)	\$
143		243		Design issue fee	\$
144		244		Plant issue fee	\$
122		122		Petitions to the Commissioner	\$
123		123		Petitions related to provisional applications	\$
126		126		Submission of Information Disclosure Statement	\$
581		581		Recording each patent assignment per property	\$
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$
149		249		For each additional invention to be examined (37 CFR 1.129(b))	\$
Other fee (specify):					\$
				Subtotal (3)	\$ 0.00

4. SUMMATION OF FEES	
SUBTOTAL (1)	\$ 0.00
SUBTOTAL (2)	\$ 0.00
SUBTOTAL (3)	\$ 0.00
TOTAL FEES	\$ 0.00

SUBMITTED BY			Complete (If Applicable)	
Typed or Printed Name	David R. Preston		Registration Number:	38,710
Signature		Date: 4/11/02	Deposit Account User ID Number	501321

FEE TRANSMITTAL		Complete if Known	
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Application Number:	09/915,494
		Filing Date:	July 25, 2001
		First Named Inventor:	Hudak
		Group / Art Unit:	1641
Total Amount of Payment	\$ 0.00	Docket Number:	AC-00127.P.1



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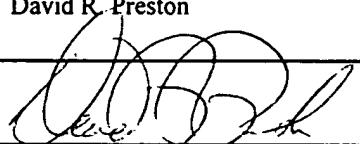
FEE CALCULATION					TECH CENTER 1600/2900	
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SUBTOTAL (1)						\$ 0.00



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Independent Claims	[ ]	-3 **	Equals	[0]	Times	[0]	Equals	\$ 0.00
<b>Subtotal (2)</b>								\$ 0.00
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				Subtotal (3)	\$ 0.00

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SUBTOTAL (3)	\$ 0.00
TOTAL FEES	\$ 0.00

SUBMITTED BY		Complete (If Applicable)	
Typed or Printed Name	David R. Preston	Registration Number:	38,710
Signature		Date:	4/11/02
		Deposit Account User ID Number	501321



Patent  
Docket Number: AC-00127.P.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
 )  
Hudak )  
 )  
Application No.: 09/915,494 )  
 )  
Filed: July 25, 2001 )  
 )  
For: SPECIMEN COLLECTION )  
CONTAINER )  
 )

Examiner: TBD

Art Unit: 1641

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**MAY 10 2002**

**TECH CENTER 1600/2900**

Assistant Commissioner for Patents  
Washington D.C. 20231

Sir,

**REQUEST FOR CORRECTED FILING RECEIPT**

In response to the Corrected Filing Receipt mailed March 28, 2002, Applicant respectfully requests the following correction to address a typographical error on the part of the U.S.P.T.O.:

In the Title Field, please delete "SPECIMEN COLLECTION CONTAINER SPECIMEN COLLECTION CONTAINER"

And insert, - - SPECIMEN COLLECTION CONTAINER - - in its place.

Date: April 11, 2002

Respectfully submitted,



David R. Preston  
Reg. No. 38,710

David R. Preston & Associates  
12625 High Bluff Drive  
Suite 205  
San Diego, CA 92130  
Telephone: 858.724.0375  
Facsimile: 858.724.0384

In the event this paper is deemed not timely filed the applicants hereby petition for an appropriate extension of time. The fee for this extension may be charged to Deposit Account No. 501321 along with any other additional fees which may be required with respect to this paper; any overpayment should be credited to the account. If any fees charged to this Deposit Account will exceed \$500, applicant respectfully requests that its counsel be notified of such amounts before the Deposit Account is charged.